

Docket No.: 49921-036

UTILITY PATENT APPLICATION
UNDER 37 CFR 1.53(b)

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231
Sir:

1c971 U.S. PTO
89/934475
08/23/01

Transmitted herewith for filing is the patent application of:

INVENTOR: Sang Seol KIM, Joon Hyung RYOO, Won Il KANG, Young Jong PARK,
Eun Ja KIM, Suk Ong KWON, Jae Kyung LEE, Kyoung Jae JI, Tae Sik BANK,
Joo Young HAN

FOR: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY
CONVERSATION FOR DIALOGUE PARTNER

Enclosed are:

- ☒ 35 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☐ Certified copy of _____
- ☒ 5 sheets of formal drawing.
- ☒ An assignment of the invention to KOREA AXIS CO., LTD.
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☒ Information Disclosure Statement, Form PTO-1449 and reference.
- ☒ Return Receipt Postcard
- ☒ **APPLICANTS ARE ENTITLED TO SMALL ENTITY STATUS**
- ☒ Preliminary Amendment

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	9	-20	0	\$18.00	\$0.00
Independent Claims	1	-3	0	\$80.00	\$0.00
Multiple Dependent Claim(s)					\$0.00
Basic Fee					\$710.00
Total of Above Calculations					\$710.00
Less ½ for Small Entity					\$355.00
Assignment & Recording Fee					\$40.00
Total Fee					\$395.00



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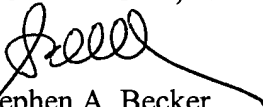
PATENT TRADEMARK OFFICE

08/23/01
11054 U.S. PTO
89/934475
08/23/01

- 069447-082301
- ☒ Please charge my Deposit Account No. 500417 in the amount of \$395.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


Stephen A. Becker
Registration No. 26,527

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 SAB:ykg
Date: August 23, 2001
Facsimile: (202) 756-8087

D.J.
#7 11-28-01
Priority/Papers
PATENT

Docket No.: 49921-036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :

Sang Seol KIM, et al. :

Serial No.: :

Group Art Unit:

Filed: August 23, 2001 :

Examiner:

For: TOY HAVING SPEECH RECOGNITION FUNCTION AND TWO-WAY
CONVERSATION FOR DIALOGUE PARTNER

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CLAIM OF PRIORITY

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with the provisions of 35 U.S.C. 120, Applicants hereby claim the priority of U. S. Patent Application No. 09/321,626, filed May 28, 1999, of which this application is a Continuation-In-Part, which claims the benefit of the priority under 35 U.S.C. 199 of:

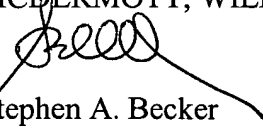
Korean Patent Application No. 1999-16583, Filed May 10, 1999

cited in the Declaration of the present application.

Acknowledgement is respectfully requested.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


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Registration No. 26,527

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